



Attorney Docket No. 169-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Shunpei YAMAZAKI et al

Serial No.: 09/534,812

Filed: March 24, 2000

Art Unit: 2871

For: LIQUID CRYSTAL DISPLAY DEVICE

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231, on October 29, 2002

Cristine M. Hall

Date: October 29, 2002

SUBSTITUTE INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

Technology Center 2000

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. Copies of the references are enclosed herewith for the Examiner's consideration.

Other applications related to the present invention include:

U.S. Patent Application No. 09/507,825 (pending)
U.S. Patent Application No. 09/522,428 (pending)
U.S. Patent Application No. 09/536,782 (pending)

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not

possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

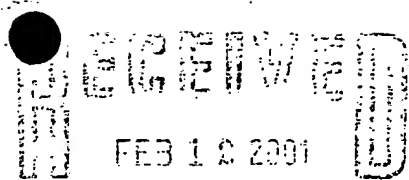
In the office action of May 23, 2002, the Examiner states that the IDS filed "2/8/2002" fails to comply with 37 CFR §1.98(a)(2) requiring a copy of each reference. Applicants assume that this refers to the IDS mailed on February 6, 2001. As shown on the return postcard (copy attached), Applicants did submit the references with the IDS. Perhaps they were lost between the PTO mail room and the Examiner. However, in order to advance the prosecution of this application, Applicants are submitting this substitute IDS with references. Therefore Applicants do not believe that a fee is due. However, if any fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,



Mark J. Murphy
Registration No.: 34,225

COOK, ALEX, McFARRON, MANZO,
CUMMINGS & MEHLER, LTD.
200 West Adams Street
Suite 2850
Chicago, Illinois 60606
(312) 236-8500



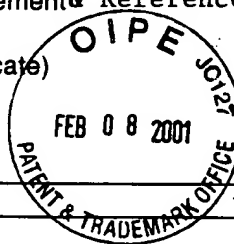
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ENCLOSURES:

- ☐ Application _____
- ☐ Specification _____ pages
- ☐ Claims _____ pages
- ☐ Abstract _____ page(s)
- ☐ Drawing Sheets no. _____ (F) _____ (INF) _____
- ☐ Transmittal Letter
- ☐ Check \$ _____ No: _____
- ☐ Declaration/Oath
- ☐ Assignment and Cover Sheet
- ☒ Information Disclosure Statement & References
- ☐ Response/Amendment
- ☐ Extension of Time (In Duplicate)
- ☐ Small Entity Status
- ☐ Copy of Priority Document
- ☐ _____
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RE: APPLICATION

ATTY/SEC: MJM/cn

File no: SEL 169

Applicant: Shunpei YAMAZAKI et al

S.N.: 09/534,812 Filing Date: March 24, 2000

Title: Liquid Crystal Display Device

Due date: _____ Date Sent: 2/6/2001

Returned Post Card